

## PATENT

Case Docket No. ASMEX.320A

Date: May 6, 2003

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Pomarede et al.  
Appl. No. : 10/074,722  
Filed : February 11, 2002  
For : INTEGRATION OF HIGH K  
GATE DIELECTRIC  
Examiner : Ron Everett Pompey  
Group Art Unit : 2812

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May 6, 2003

(Date)

*Joseph J. Mallon*  
Joseph J. Mallon, Reg. No. 39,287

## TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with one (1) references.
- (X) A check in the amount of \$180 to cover the above fee is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

*Joseph J. Mallon*  
Joseph J. Mallon  
Registration No. 39,287  
Attorney of Record  
Customer No. 20,995  
(619) 235-8550

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**Knobbe Martens Olson & Bear LLP**  
Intellectual Property Law

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TO: Examiner Ron Everett Pompey  
FIRM: USPTO  
FACSIMILE NO.: 703-872-9318  
OUR REF.: ASMEX.320A  
YOUR REF.: 10/074,722  
FROM: Joseph J. Mallon, Reg. No. 39,287  
OPERATOR: Susan Olson  
DATE: September 24, 2003  
NO. OF PAGES: 18 (incl. cover sheet)

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Docket No.: ASMEX.320A

Customer No.: 20,995

## AMENDMENT / RESPONSE TRANSMITTAL

Applicant : Pomeroy et al.  
 App. No. : 10/074,722  
 Filed : February 11, 2002  
 For : INTEGRATION OF HIGH K  
 GATE DIELECTRIC  
 Examiner : Pompey, Ron Everett  
 Art Unit : 2812

## CERTIFICATE OF FAX TRANSMISSION

I hereby certify that this correspondence and all marked attachments are being transmitted via facsimile to Examiner R. Pompey, Fax No. 703-872-9318 of the USPTO on the date shown below:

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*Joseph J. Mallon*  
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Sir:

Transmitted herewith for filing in the above-identified application are the following enclosures:

- (X) Response in 6 pages.
- (X) Courtesy copies of IDS and PTO Form 1449 filed on August 27, 2002 and May 6, 2003 along with copies of return postcards showing receipt by the USPTO on September 3, 2002 and May 9, 2003, respectively.

The fee has been calculated as shown below:

FEE CALCULATION						
FEE TYPE				FEE CODE	CALCULATION	TOTAL
Total Claims	47	-	47	= 0	1202 (\$18)	0 x 18 = \$0
Independent Claims	3	-	3	= 0	1201 (\$84)	0 x 84 = \$0
Multiple Claim					1203 (\$280)	\$0
1 Month Extension					1251 (\$110)	\$0
					<b>TOTAL FEE DUE</b>	<b>\$0</b>

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*Joseph J. Mallon*  
 Joseph J. Mallon  
 Registration No. 39,287  
 Attorney of Record  
 Customer No. 20,995  
 (619) 235-8550

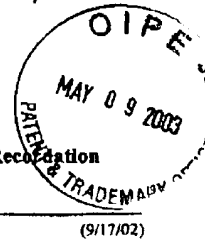
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**UTILITY/DESIGN PATENT**  
 (document recordation / IDS)
Date: May 6, 2003

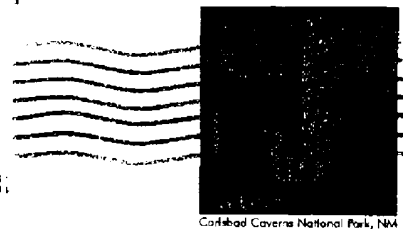
Rec'd in the USPTO on the date stamped hereon via Certificate of Mail:

Docket #: ASMEX-320A Applicant: Pomareda et al  
 Title: INTEGRATION OF HIGH K GATE DIELECTRIC  
 App No.: 10/074,722 Filed: February 11, 2002  
 Exr: Bon Zerech; Pompey Art Unit: 2812  
 Class/Sub-Class: 438/286.008 Re-Exam No.:  
 VERIFIED BY: Asst: SLO Atty: WM QC: 97

- ☒ Transmittal Letter  
☒ Information Disclosure Statement in 1 pgs  
☒ Form PTO-1449 in 1 pgs ☒ Reference(s)  
☒ \$180 Check for IDS  
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UTILITY/DESIGN PATENT  
(document recordation IDS)Date: May 5, 2003

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Docket #: ASMEK-320A Applicant: Simasade et alTitle: INTEGRATION OF HIGH K GATE DIELECTRICApp No.: 10/074,722 Filed: January 11, 2002Exr: Ben Everett Company Art Unit: 2812Class/Sub-Class: 438/281.006 Re-Exam No.: \_\_\_\_\_VERIFIED BY: Asst: SLO Atty: MM QC: \_\_\_\_\_☒ Transmittal Letter☒ Information Disclosure Statement in 1 pgs☒ Form PTO-1449 in 1 pgs☒ 1 Reference(s)☒ \$180 Check for IDS☐ Recordation Form Cover Sheet☐ Assignment in \_\_\_\_\_ pgs☐ \$ \_\_\_\_\_ Check for Recordation☐ \_\_\_\_\_ for Recordation in \_\_\_\_\_ pgs☐ \_\_\_\_\_☒ Return Prepaid Postcard

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## UTILITY/DESIGN PATENT

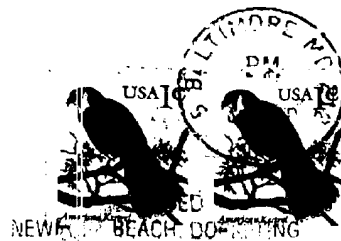
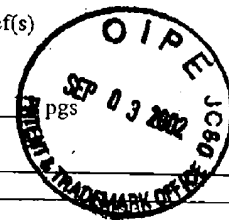
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Date: August 27, 2002

Rec'd in the USPTO on the date stamped hereon via Certificate of Mail:

Docket #: ASMEX.320A Applicant: Pomaredo, et al.Title: INTEGRATION OF HIGH K GATE DIELECTRICApp No.: 12/074,722 Filed: February 11, 2002Exr: Unknown Art Unit: 2812

Batch: \_\_\_\_\_ Re-Exam No.: \_\_\_\_\_

VERIFIED BY: Asst: SLO Atty: Am QC: A☒ Transmittal Letter☒ Information Disclosure Statement; with PTO-1449 w/ 3 Ref(s)☐ Recordation Form Cover Sheet☐ Assignment in \_\_\_\_\_ pgs☐ \_\_\_\_\_ for Recordation in \_\_\_\_\_ pgs☐ \$ \_\_\_\_\_ Check for Recordation Fee☐ \_\_\_\_\_☐ \_\_\_\_\_☒ Return Prepaid Postcard

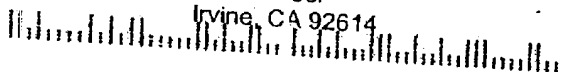
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## UTILITY/DESIGN PATENT

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Date: August 27, 2002

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Docket #: ASIMEX-320A Applicant: Pomarede, et al.  
Title: INTEGRATION OF HIGH K GATE DIELECTRIC  
App No.: 12/674,722 Filed: February 11, 2002  
Ext: Unknown Art Jnit: 2812  
Batch: \_\_\_\_\_ Re-Exam No.: \_\_\_\_\_  
VERIFIED BY: Asst: SLB Atty: AM QC: \_\_\_\_\_

- ☒ Transmittal Letter  
☒ Information Disclosure Statement; with PTO-144 w/ 3 Ref(s)  
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## PATENT

Case Docket No. ASMEX.320A

Date: August 27, 2002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Pomarede et al.

Appl. No. : 10/074,722

Filed : February 11, 2002

For : INTEGRATION OF HIGH K  
GATE DIELECTRIC

Examiner : Unknown

Group Art Unit : 2812

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(Date)

*Joseph J. Mallon*  
Joseph J. Mallon, Reg. No. 39,287

## TRANSMITTAL LETTER

United States Patent and Trademark Office  
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Arlington, VA 22202

## ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
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*Joseph J. Mallon*  
Joseph J. Mallon  
Registration No. 39,287  
Attorney of Record

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